

Risk and Objectives Questionnaire

Investment Objectives

Please tick one of the boxes below to state your primary objective: -

A Balanced Return from Income and Capital Growth

Primarily to Maximise Income

Primarily to Maximise Growth

Risk Assessment

Your tolerance to risk will be assessed on a scale of 1 to 10 (low to high) by answering the questions on the attaching questionnaire. We will provide a risk graded portfolio based on your answers. Please note that all investments are subject to risk and the degree of risk is a matter of judgement. By completing the questionnaire your acceptable level of risk can be more accurately measured.

If none of the above boxes are completed, we assume that there are no specific guidelines, and that your objective is to maximise growth. Should you fail to complete the risk questionnaire we will provide a portfolio with a risk category (5) being a moderate tolerance.

Any change to the above must be notified to us in writing.

Why assess your risk tolerance?

To select the most appropriate investment strategy, it is vital to understand your investment goals and risk tolerance.

Your tolerance is a measure of both emotional and rational responses to changes in the value of your investments, i.e. your ability to withstand losses. It is usually linked to your temperament, time frame and financial circumstances.

Why do we use a risk questionnaire?

Risk questionnaires are a recognised way of assessing risk tolerance. This questionnaire has been developed in conjunction with Oxford Risk Research and Analysis (ORRA). ORRA is an independent company, linked to the University of Oxford. They are leading experts in the field of risk analysis, dedicated to the practical application of knowledge and risk behaviour. They have vast experience of creating risk and decision-making solutions in a diverse range of commercial industries including insurance and the government.

We will take the results into account when providing future investment recommendations.

Risk Tolerance Questionnaire completed on (Date)

By (Name)

Signed